SEC Form 4

П

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

Estimated average burden		
hours per response:		0.5

			2. Issuer Name and Ticker or Trading Symbol ICU MEDICAL INC/DE [ICUI]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
			2. Data of Earliest Transaction (Manth/Dau/Maar)	X Oncer (give the Other (spechy below)
		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/25/2019	Chief Financial Officer,
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)
SAN CLEMENTE	CA	92673		X Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Di Code (Instr. 5)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D) Price		Reported Transaction(s) (Instr. 3 and 4)		(insu. 4)	
Common Stock	06/25/2019		x		21,675	A	\$58.79	34,984	D		
Common Stock	06/25/2019		S ⁽¹⁾		21,675	D	\$250 ⁽²⁾	13,309	D		
Common Stock	06/26/2019		X		3,325	A	\$58.79	16,634	D		
Common Stock	06/26/2019		S ⁽¹⁾		3,325	D	\$250 ⁽²⁾	13,309	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

			(e.g.,	puts,	calls	5, Wa	arrants	s, options,	convertil	ble secu	rities)				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (I	umber vative urities uired or oosed D) (Instr. and 5)	Expiration Date ((Month/Day/Year) [[(7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$58.79	06/25/2019		X			21,675	(3)	02/24/2024	Common Stock	21,675	\$58.79 ⁽⁴⁾	78,325	D	
Non- Qualified Stock Option (right to buy)	\$58.79	06/26/2019		x			3,325	(3)	02/24/2024	Common Stock	3,325	\$58.79 ⁽⁴⁾	75,000	D	

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 Plan adopted by the reporting person on March 11, 2019.

2. All shares sold were sold at the exact price disclosed.

3. Twenty-five percent of the shares shall vest on each of the first, second, third, and fourth anniversaries of the grant date . Fifty percent of the vested shares shall become exercisable if, during the term of the option, the closing price of the Company's common stock is equal to or more than one-hundred and twenty-five percent of the exercise price per share for thirty consecutive trading days. The remaining fifty percent of the vested shares shall become exercisable if, after the date of award and on or before the expiration date, the closing price of the Company's common stock is equal to or more than one-hundred and fifty percent of e exercise price per share for 30 consecutive trading days.

4. Transaction is the exercise of a derivative security; see Column 2.

<u>By: Paula Darbyshire,</u>

Attorney-In-Fact For: Scott E. 06/26/2019 Lamb

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.